

Nedgroup Investments International

Client FATCA and CRS Declaration form



1. Client details

FIRST HOLDER

Client number	<input style="width: 100%;" type="text"/>	
Title and surname	<input style="width: 100%;" type="text"/>	
Initials and first names	<input style="width: 100%;" type="text"/>	
Former / maiden name	<input style="width: 100%;" type="text"/>	
National ID number	<input style="width: 100%;" type="text"/>	Nationality <input style="width: 100%;" type="text"/>
Passport number	<input style="width: 100%;" type="text"/>	Occupation <input style="width: 100%;" type="text"/>
Date of birth	<input style="width: 100%;" type="text"/>	
Town of birth	<input style="width: 100%;" type="text"/>	Country of birth <input style="width: 100%;" type="text"/>
Employer (former employer if retired)	<input style="width: 100%;" type="text"/>	
Residential address	Correspondence address (if different)	<input style="width: 100%;" type="text"/>
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Country	Country	<input style="width: 100%;" type="text"/>
Postal code	Postal code	<input style="width: 100%;" type="text"/>
Telephone (home)	<input style="width: 100%;" type="text"/>	
Telephone (work)	<input style="width: 100%;" type="text"/>	
Mobile	<input style="width: 100%;" type="text"/>	
Email address	<input style="width: 100%;" type="text"/>	

FIRST HOLDER: Self Certification – for FATCA and CRS

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information and other financial information with respect to an Investor's interests with relevant tax authorities. This Agreement is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this section of the Agreement or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification.

Please complete sections A and B below:

Section A:

I certify that I am a US Citizen and/or resident in the US for tax purposes (please tick)

My US Tax Identification Number (TIN) is:

OR

I certify that I am NOT a US Citizen or resident in the US for tax purposes (please tick)

Section B: CRS Declaration of Tax Residency (please note you may chose more than one country)

Please indicate your/ the investor’s country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers (“TIN”). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

DECLARATION AND UNDERTAKINGS: FIRST HOLDER

I declare that the information provided in this Agreement is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this Agreement and the information regarding the Account Holder may be reported to the tax authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the Company promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Signature

Date

SECOND HOLDER (if applicable)

Title and surname

Initials and first names

Former / maiden name

ID number Nationality

Passport number Occupation

Date of birth

Town of birth Country of birth

Employer (former employer if retired)

Residential address Correspondence address (if different)

Country Country

Postal code Postal code



Telephone (home) (0)

Telephone (work) (0)

Mobile (0)

Email address

If there are more than two investors please copy and complete this section.

SECOND HOLDER: Self Certification – for FATCA and CRS

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor’s tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information and other financial information with respect to an Investor’s interests with relevant tax authorities. This Agreement is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this section of the Agreement or defining the investor’s tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor’s tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification.

Please complete sections A and B below:

Section A:

I certify that I am a US Citizen and/or resident in the US for tax purposes (please tick)

My US Tax Identification Number (TIN) is:

OR

I certify that I am NOT a US Citizen or resident in the US for tax purposes (please tick)

Section B: CRS Declaration of Tax Residency (please note you may chose more than one country)

Please indicate your/ the investor’s country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers (“TIN”). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number (TIN)

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Nedgroup Investments is fully committed to protecting the personal data of our clients in accordance with all applicable laws, including the new requirements of the European Union General Data Protection Regulation 2016 ("GDPR"). We respect privacy and will take all steps to maintain trust and confidence when handling your personal information.

As part of this commitment, we have prepared the Data Privacy Notice (the "Notice"), to inform you of your rights as a data subject, together with information on what personal data we process on you and the purposes for which it may be disclosed to third parties.

You can find the Notice, and any future versions, on our website at www.nedgroupinvestments.com. Our Terms of Business have also been amended to reflect the new GDPR requirements.

DECLARATION AND UNDERTAKINGS: SECOND HOLDER

I declare that the information provided in this Agreement is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this Agreement and the information regarding the Account Holder may be reported to the tax authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the Company promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Nedgroup Investments (IOM) Limited (Reg. no. 57917C) is licensed by the Isle of Man Financial Services Authority to provide collective investment scheme services.