Financial planning fee change

Individuals and Legal Entities

NEDGROUP INVESTMENTS

This form will only be accepted when submitted with a completed and signed 'Client Details – Existing (Individual or Legal Entity) Investor' form available from our Client Services Centre.

1. Investor details

Investor name	
Investor number	

2. Financial planning fee change

Please note:

- Initial financial planning fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio
- If a fee higher than the maximum is specified, the maximum will apply
- For retirement funds, the fee for the savings and retirement component must be the same. If the fees specified are different, the fee provided for the saving component will be applied to the retirement component
- Where the effective month is the current month or not specified:
  - Your instruction to change the financial planning fee applicable to your debit order must be submitted at least five business days prior to the next deduction date to be processed in the month of submission. If not, it will be processed in the next month
  - Your instruction to change the annual sale of unit financial planning fee must be submitted at least two days prior to the next deduction date to be processed in the month of submission. If not, it will be processed in the next month
  - You are not able to change the annual financial planner fee priced into the unit price of certain classes

				Only applies to fees on existing debit orders	
Account group number	Account number	Unit Trust portfolio	Effective month	New initial fee (excl VAT)	New annual fee (excl VAT)
			(MM/YYYY)	%	%

3. Fee account selection (if required)

Please note:

- This selection will apply to all 'sale of unit' unit trust portfolios within the specified investment contract
- If the funds are depleted in the specified unit trust portfolio, the fees will be recovered from the original unit trust portfolio
- This instruction will override any previous instruction
- This change will only be effective at the next fee run

Would you like sale of unit fee to be recovered from a specific unit trust portfolio? ☐ Yes ☐ No

If you only have one investment contract, please specify which unit trust portfolio you would like your fee(s)to be recovered from:

Unit trust portfolio name	
Account number	

If you have more than one investment contract, please complete the table below

Investment contract number	Account number	Unit Trust portfolio from which fees are to be recovered

4. Financial planner details and declaration

Name of financial planning business

Name of financial planner

Financial planner code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor
- I have used the Effective Annual Cost calculator and explained all fees and charges that relate to this investment to the investor
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS

Financial planner signature

Date D D M M Y Y Y Y